

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas A. Rhee

Serial No: 09/776,379

Filed: February 2, 2001

Confirmation No.: 8077

For: REAL LIFE IMPLEMENTATION OF MODERN  
PORTFOLIO THEORY (MPT) FOR FINANCIAL  
PLANNING AND PORTFOLIO MANAGEMENTArt Unit: 3693  
Examiner: Patel, JagdishI hereby certify that this correspondence is  
being transmitted via electronic filing on the  
date indicated below to:Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

April 20, 2007

Rebecca Malden

Name

 04/20/07  
Signature DateMail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This is the cover sheet for an amendment filed on April 17, 2007 in the above-identified application.

- Small entity status has been claimed. See 37 CFR § 1.27.  
 No additional fee is required.

The fee has been calculated as shown below:

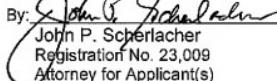
	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NUMBER PREVIOUSLY PAID FOR		(Col. 3) PRESENT EXTRA*		LG/S/M \$ ENTITY FEE	ADD'L FEE DUE
TOTAL CLAIMS FEE	39 [7]	-20	35	**	4	LG=\$50 SM=\$25	\$25	\$ 100
INDEPENDENT CLAIMS FEE	2	-3	3	***	0	LG=\$200 SM=\$100	\$100	\$ 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS								
LARGE ENTITY FEE = \$360 SMALL ENTITY FEE = \$180								
ADDITIONAL SIZE FEE (IF ANY) (TOTAL PAGES OF SPEC AND DRAWINGS TOGETHER)								
\$250 FOR EACH ADDITIONAL 50 SHEETS								
Independent claims 1, 7								
TOTAL \$ 100								

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box on Col. 1 of a prior amendment or the number of claims originally filed.

- The Commissioner is hereby authorized to charge the Deposit Account No. 50-1314 \$ 100 to cover the additional claims fee is enclosed.
- The Commissioner is hereby authorized to charge any deficiencies of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314.
- Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims
- Any patent application processing fees under 37 C.F.R. § 1.17

Respectfully submitted,  
HOGAN & HARTSON L.L.P.By:   
John P. Scherlacher  
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Date: April 20, 2007

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